

# *The Multiple Access Channel with Causal and Strictly Causal Side Information at the Encoders*

Amos Lapidoth and Yossef Steinberg

# Outline

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- ▶ Problem Formulation: The MAC with strictly causal and causal common SI

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- ▶ An achievable region for the strictly causal model

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- ▶ An achievable region for the causal model

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- ▶ Problem Formulation: The MAC with strictly causal and causal common SI
- ▶ An achievable region for the strictly causal model
- ▶ Example
- ▶ An achievable region for the causal model
- ▶ The naïve approach

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- ▶ The naïve approach
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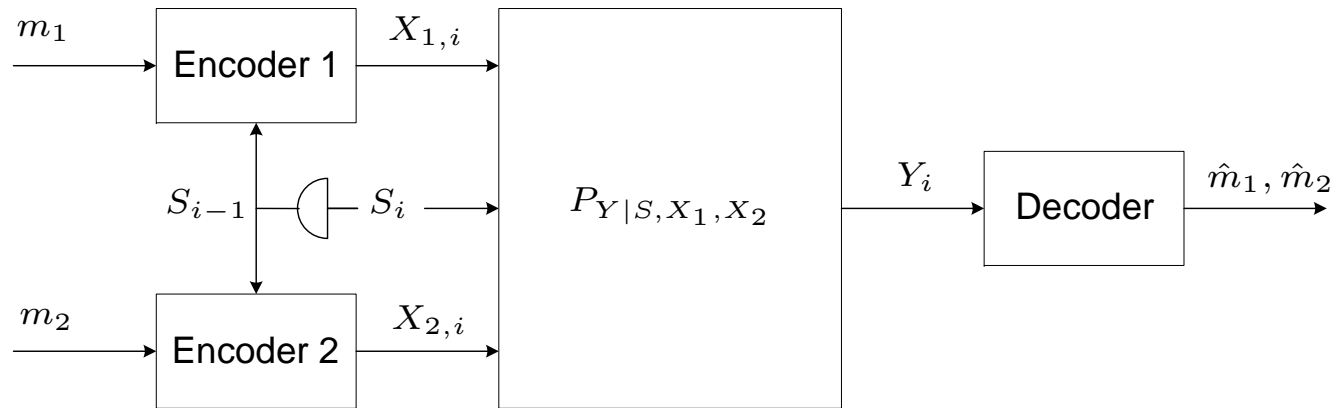


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- ▶ Problem Formulation: The MAC with strictly causal and causal common SI
- ▶ An achievable region for the strictly causal model
- ▶ Example
- ▶ An achievable region for the causal model
- ▶ The naïve approach
- ▶ Example
- ▶ Two independent states

# Problem Formulation

MAC with strictly causal side information (SI):



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Problem Formulation

Strictly Causal SI

Causal SI

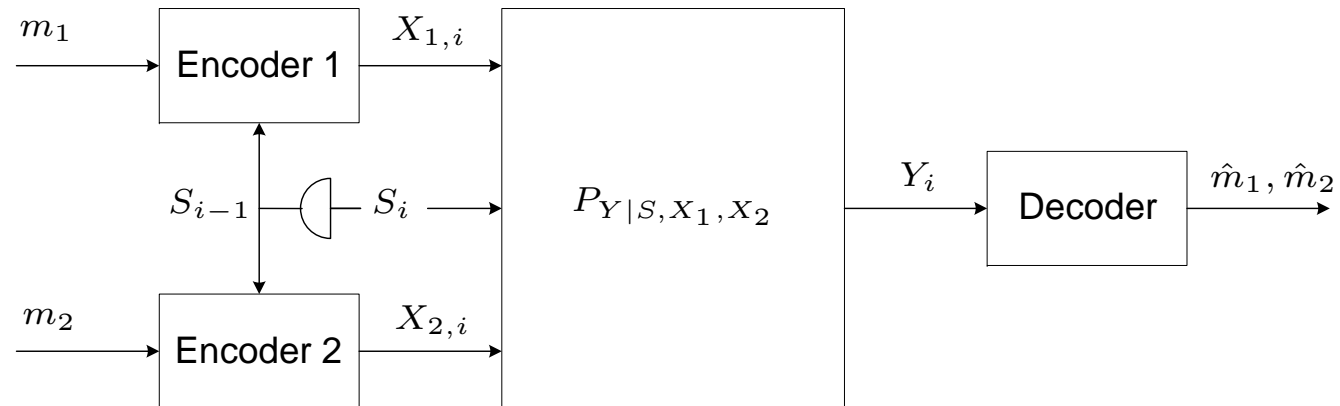
Independent SI streams

Summary

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# Problem Formulation

MAC with strictly causal side information (SI):



- ▶ One state sequence  $S^n$ , available to the encoders in a strictly causal manner:

$$X_{1,i} = f_{1,i}(m_1, S^{i-1}), \quad X_{2,i} = f_{2,i}(m_2, S^{i-1}), \quad i = 1, \dots, n$$

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Strictly Causal SI

Causal SI

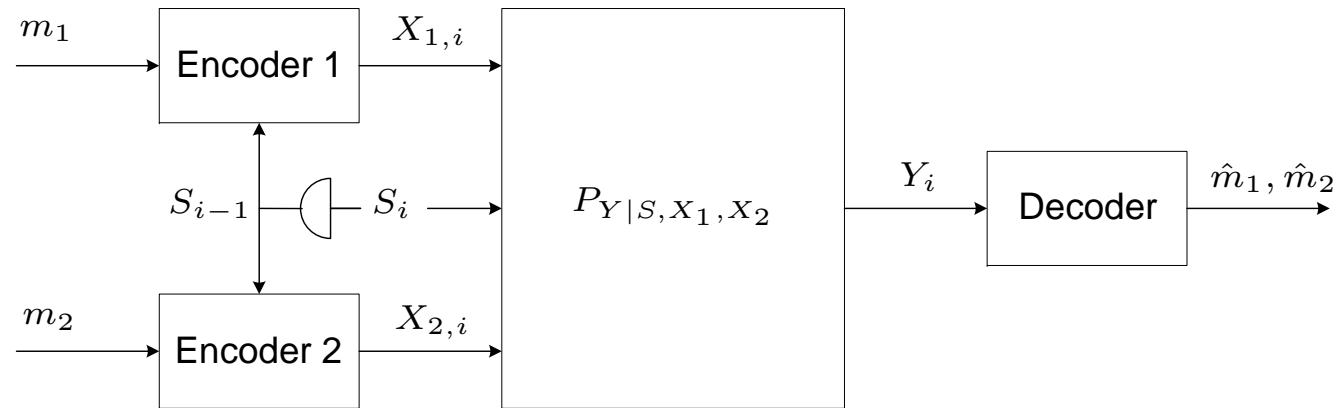
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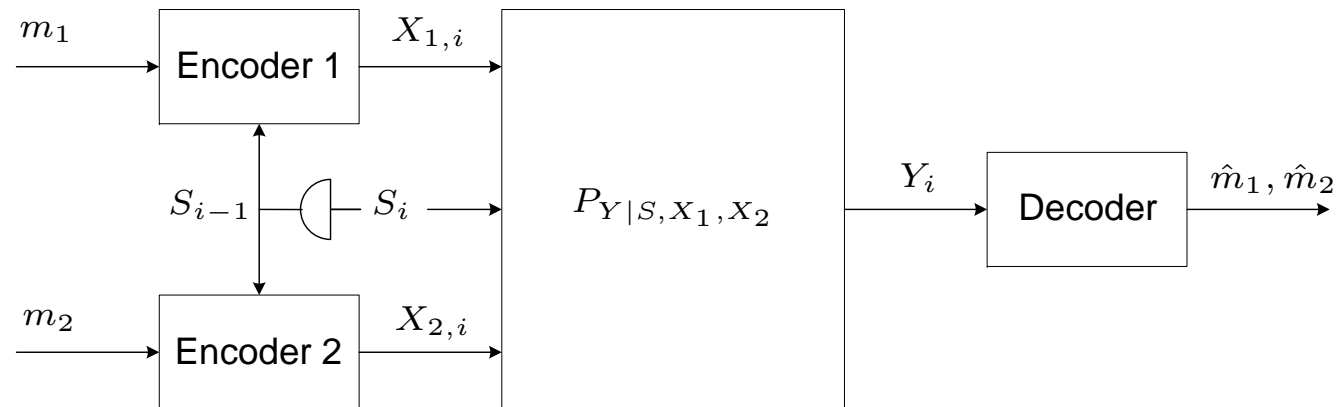
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$$(\hat{m}_1, \hat{m}_2) = g(Y^n)$$

- ▶ Transmission is subject to input constraints  $\frac{1}{n} \sum_{i=1}^n \phi_k(X_{k,i}) \leq \Gamma_k, \quad k = 1, 2.$

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Strictly Causal SI

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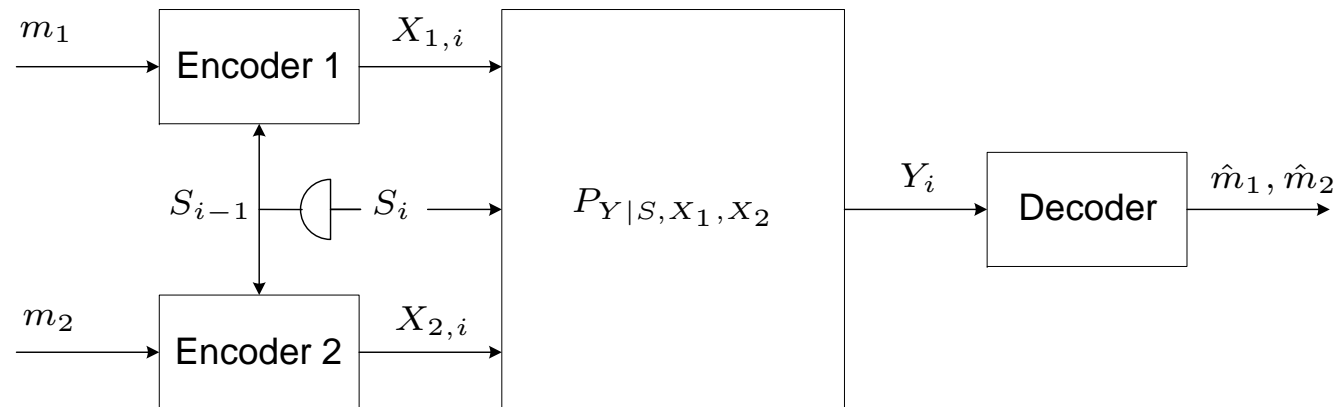
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$$(\hat{m}_1, \hat{m}_2) = g(Y^n)$$

- ▶ Transmission is subject to input constraints  $\frac{1}{n} \sum_{i=1}^n \phi_k(X_{k,i}) \leq \Gamma_k, \quad k = 1, 2.$
- ▶ Memoryless, time invariant channel and state  $P_{Y|S,X_1,X_2}, P_S.$

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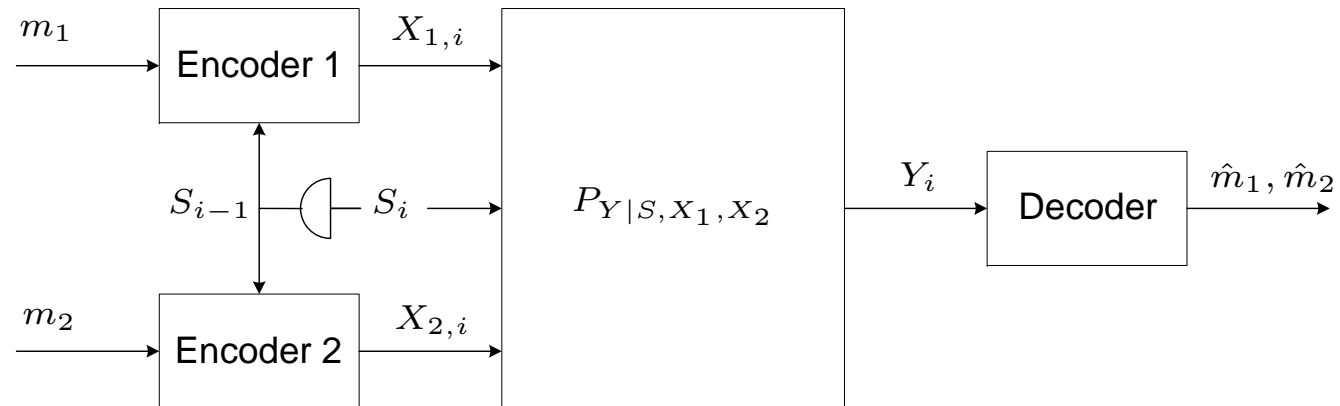
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# Problem Formulation

MAC with strictly causal side information (SI):



We are interested in  $\mathcal{C}_{S-C}$ , the region of all achievable rate and cost pairs

$$(R_1, R_2, \Gamma_1, \Gamma_2).$$

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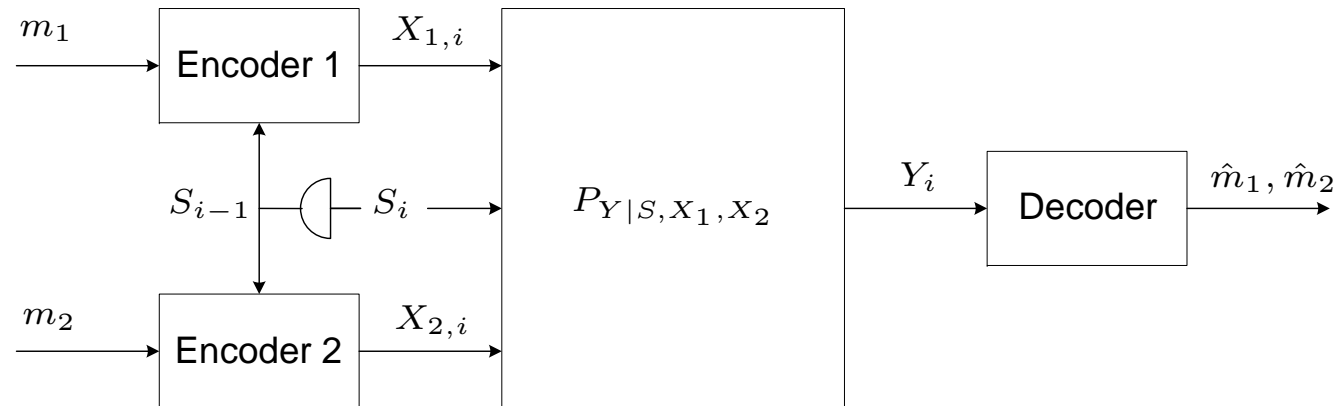
Independent SI streams

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# Problem Formulation

MAC with strictly causal side information (SI):



We are interested in  $\mathcal{C}_{s-c}$ , the region of all achievable rate and cost pairs

$$(R_1, R_2, \Gamma_1, \Gamma_2).$$

$\mathcal{C}_{s-c}(\Gamma_1, \Gamma_2)$  – the collection of all rate pairs  $(R_1, R_2)$  such that

$$(R_1, R_2, \Gamma_1, \Gamma_2) \in \mathcal{C}_{s-c}.$$

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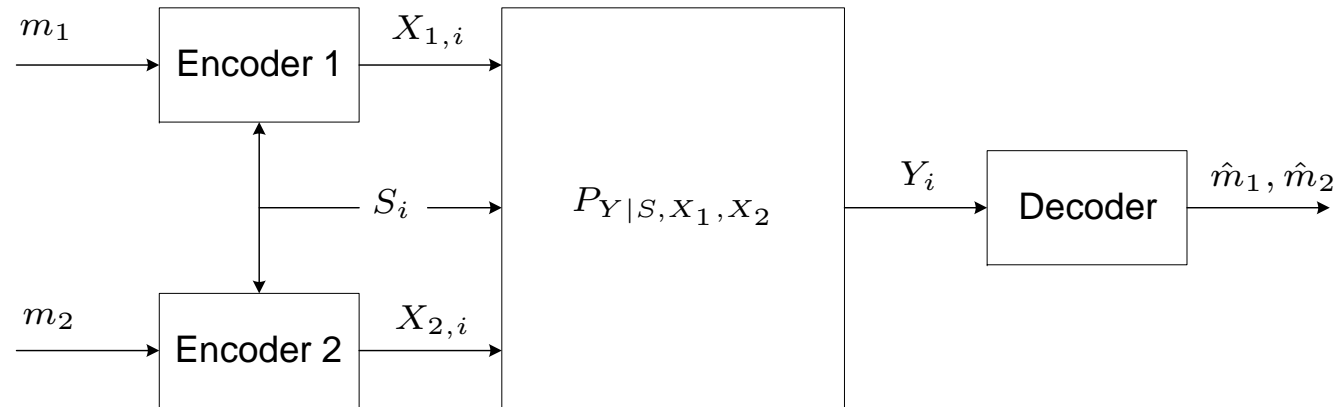
Summary

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# Problem Formulation

MAC with **causal** SI:



- ▶ One state sequence  $S^n$ , available to the encoders in a **causal** manner:

$$X_{1,i} = f_{1,i}(m_1, S^i), \quad X_{2,i} = f_{2,i}(m_2, S^i), \quad i = 1, \dots, n$$
$$(\hat{m}_1, \hat{m}_2) = g(Y^n)$$

- ▶ Transmission is subject to input constraints  $\frac{1}{n} \sum_{i=1}^n \phi_k(X_{k,i}) \leq \Gamma_k, \quad k = 1, 2.$
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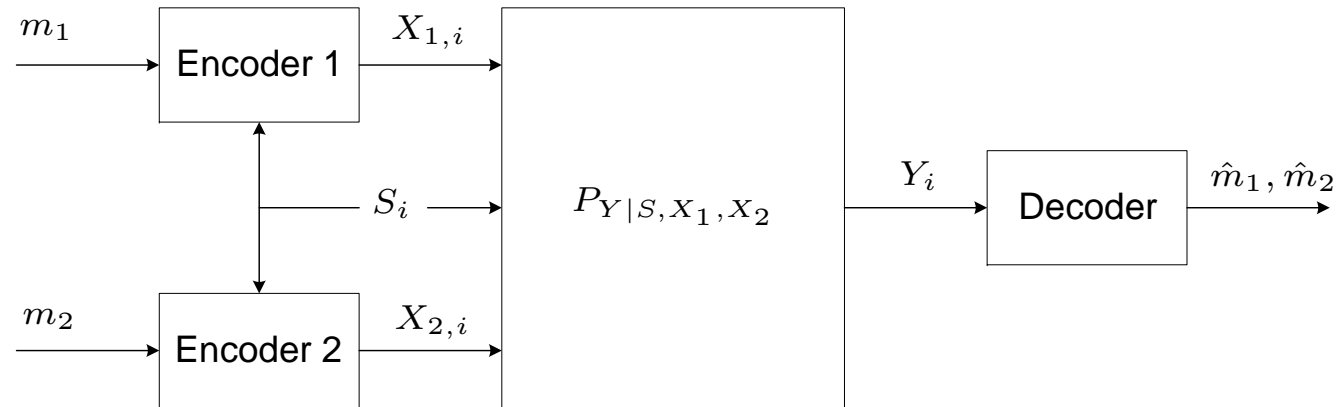
Independent SI streams

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# Problem Formulation

MAC with **causal** SI:



We are interested in  $\mathcal{C}_{\text{cau}}$ , the region of all achievable rate and cost pairs

$$(R_1, R_2, \Gamma_1, \Gamma_2).$$

$\mathcal{C}_{\text{cau}}(\Gamma_1, \Gamma_2)$  – the collection of all rate pairs  $(R_1, R_2)$  such that

$$(R_1, R_2, \Gamma_1, \Gamma_2) \in \mathcal{C}_{\text{cau}}.$$

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# Single user and BC with SC SI

- Strictly causal SI does not increase the capacity of the single user channel

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▶ MAC with SC SI - main  
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# Single user and BC with SC SI

- Strictly causal SI does not increase the capacity of the single user channel

$$\begin{aligned} nR - n\epsilon_n &\leq I(M; Y^n) = \sum_{i=1}^n I(M; Y_i | Y^{i-1}) \\ &\leq \sum_{i=1}^n I(M, Y^{i-1}; Y_i) \\ &\leq \sum_{i=1}^n I(M, Y^{i-1}, X_i; Y_i) \\ &= \sum_{i=1}^n I(X_i; Y_i) \\ &\leq \max_{P_X} I(X; Y) = nC \end{aligned}$$

where  $C$  is the capacity without SI.

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# Single user and BC with SC SI

- Strictly causal SI does not increase the capacity of the single user channel  
(a reminiscent of the situation in feedback capacity)

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- Strictly causal SI does not increase the capacity of the single user channel (a reminiscent of the situation in feedback capacity)
- Transmission of the state (or compressed version thereof) to the other side is sub optimal: waste of precious rate, without increase in capacity.

# Single user and BC with SC SI

- An example by Dueck (1980): A non degraded additive noise BC with feedback.

The noise is common to the two channels.

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# Single user and BC with SC SI

- An example by Dueck (1980): A non degraded additive noise BC with feedback.

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- ▶ The encoder transmits the noise to the two users, uncompressed.

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# Single user and BC with SC SI

- An example by Dueck (1980): A non degraded additive noise BC with feedback.

The noise is common to the two channels.

- ▶ The encoder transmits the noise to the two users, uncompressed.
- ▶ Knowledge of the additive noise at the decoder facilitates decoding of the messages.

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- ▶ Although precious rate is spent on transmitting the noise, the net effect is an increase in the capacity region.

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- ▶ Yields gains in capacity also when only lossy transmission of the noise is possible.

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  - ▶ Although precious rate is spent on transmitting the noise, the net effect is an increase in the capacity region.
  - ▶ Yields gains in capacity also when only lossy transmission of the noise is possible.
- In the MAC: The two users can *cooperate* in transmitting the noise (state) to the decoder.

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# MAC with SC SI

$\mathcal{R}_{s-c}$  - the CH of all  $(R_1, R_2, \Gamma_1, \Gamma_2)$  satisfying

$$R_1 \leq I(X_1; Y | X_2, U, V)$$

$$R_2 \leq I(X_2; Y | X_1, U, V)$$

$$R_1 + R_2 \leq I(X_1, X_2; Y | U, V)$$

$$R_1 + R_2 \leq I(X_1, X_2, V; Y) - I(V; S)$$

$$\Gamma_k \geq \mathbb{E}[\phi_k(X_k)], \quad k = 1, 2$$

for some joint distribution

$$P_{U, V, X_1, X_2, S, Y} = P_S P_{X_1|U} P_{X_2|U} P_U P_{V|S} P_{Y|S, X_1, X_2}.$$

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$$X_1 - U - X_2$$

$$(X_1, U, X_2) \perp (V, S)$$

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# Main result

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**Theorem 1** (Strictly-Causal SI)  $\mathcal{R}_{s-c} \subseteq \mathcal{C}_{s-c}$

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# Main Result

We can write  $\mathcal{R}_{s-c}$  as

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$$R_0 + R_1 + R_2 \leq I(X_1, X_2; Y | V)$$

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$$\Gamma_k \geq \mathbb{E}[\phi_k(X_k)], \quad k = 1, 2$$

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A block Markov scheme:

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A block Markov scheme:

- ▶ The state sequence  $S^n$  is compressed by a Wyner-Ziv scheme, with coding random variable  $V$ , and decoder side information  $Y^n$ .

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$$V - S - Y$$

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- ▶ The compressed state is transmitted to the decoder in the *next transmission block* as a *common message*, together with the independent messages  $m_1, m_2$ .

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$$X_1 - U - X_2, \quad \text{independent of } (V, S).$$

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$$X_1 - U - X_2, \quad \text{independent of } (V, S).$$

- ▶ The two codes are decoupled.

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# The coding scheme

The total transmission time is divided into  $B + 1$  blocks, each of length  $n$ .

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# The coding scheme

The total transmission time is divided into  $B + 1$  blocks, each of length  $n$ .

- ▶ First block - User 1 and User 2 transmit messages at rate  $R_1$  and  $R_2$ .

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  - ▶ The Wyner-Ziv codeword is independent of the state during its transmission.
- ▶ Block  $B + 1$ : The users do not transmit private information. Transmit only the common message, consisting of the Wyner-Ziv codeword of the state in block  $B$ .

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- ▶ **Backward decoding**: In block  $B + 1$ , the decoder decodes the state of block  $B$ , using the output of block  $B$  as side information.

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  - ▶ The channel output at block  $b - 1$  serves as the decoder's SI.
  - ▶ The Wyner-Ziv codeword is independent of the state during its transmission.
- ▶ Block  $B + 1$ : The users do not transmit private information. Transmit only the common message, consisting of the Wyner-Ziv codeword of the state in block  $B$ .
- ▶ **Backward decoding:** In block  $B + 1$ , the decoder decodes the state of block  $B$ , using the output of block  $B$  as side information.
- ▶ The decoded state of block  $B$  is used to decode the messages sent at block  $B$ : private messages, and compressed state of block  $B - 1$ ....

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# Example

The Gaussian MAC where the state comprises the channel noise

$$Y = X_1 + X_2 + S, \quad S \sim \mathcal{N}(0, \sigma_s^2)$$

$$\mathbb{E}[X_1^2] \leq \Gamma_1, \quad \mathbb{E}[X_2^2] \leq \Gamma_2.$$

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$\mathcal{C}_{s-c}(\Gamma_1, \Gamma_2)$  is the collection of all rate-pairs  $(R_1, R_2)$  satisfying

$$R_1 + R_2 \leq \frac{1}{2} \log \left( 1 + \frac{(\Gamma_1^{\frac{1}{2}} + \Gamma_2^{\frac{1}{2}})^2}{\sigma_s^2} \right).$$

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I.e., the full cooperation line can be achieved.

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Proof:

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I.e., the full cooperation line can be achieved.

**Proof:**

**Converse.** Since strictly causal SI does not increase the capacity of the single user channel, full cooperation is an upper bound.

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**Converse.** Since strictly causal SI does not increase the capacity of the single user channel, full cooperation is an upper bound.

**Direct part.** Two methods:

- ▶ Good choice of random variables in our achievability region  $\mathcal{R}_{s-c}$ . (In some cases,  $\mathcal{R}_{s-c}$  is tight.)

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**Converse.** Since strictly causal SI does not increase the capacity of the single user channel, full cooperation is an upper bound.

**Direct part.** Two methods:

- ▶ Good choice of random variables in our achievability region  $\mathcal{R}_{s-c}$ . (In some cases,  $\mathcal{R}_{s-c}$  is tight.)
- ▶ A Schalkwijk-Kailath algorithm

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# MAC with causal SI

The region we had for the strictly causal case is still achievable

$$\begin{aligned}R_1 &\leq I(X_1; Y | X_2, U, V) \\R_2 &\leq I(X_2; Y | X_1, U, V) \\R_1 + R_2 &\leq I(X_1, X_2; Y | U, V) \\R_1 + R_2 &\leq I(X_1, X_2, V; Y) - I(V; S) \\ \Gamma_k &\geq \mathbf{E}[\phi_k(X_k)], \quad k = 1, 2\end{aligned}$$

with the Markov conditions

$$\begin{aligned}X_1 &- U - X_2 \\(X_1, U, X_2) &\perp (V, S) \\V &- S - Y\end{aligned}$$

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But now,  $X_1, X_2$  can depend on  $S$ .

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⇒ Use Shannon strategies on top of our block Markov scheme.

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$$\begin{aligned}X_1 &- U - X_2 \\(X_1, U, X_2) &\perp (V, S) \\V &- S - Y\end{aligned}$$

But now,  $X_1, X_2$  can depend on  $S$ .

Replace  $(X_1, X_2)$  by  $(U_1, U_2)$  independent of  $S$ , and let

$$P_{X_1|U,U_1,S}, \quad P_{X_2|U,U_2,S}$$

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# Main result

$\mathcal{R}_{\text{cau}}$  - the CH of all  $(R_1, R_2, \Gamma_1, \Gamma_2)$  satisfying

$$R_1 \leq I(U_1; Y | U_2, U, V)$$

$$R_2 \leq I(U_2; Y | U_1, U, V)$$

$$R_1 + R_2 \leq I(U_1, U_2; Y | U, V)$$

$$R_1 + R_2 \leq I(U_1, U, U_2, V; Y) - I(V; S)$$

$$\Gamma_k \geq \mathbf{E}[\phi_k(X_k)], \quad k = 1, 2$$

for some joint distribution

$$P_{U, U_1, U_2, V, X_1, X_2, S, Y} = P_U P_{U_1|U} P_{U_2|U} P_{V|S} P_S \cdot$$

$$P_{X_1|U, U_1, S} P_{X_2|U, U_2, S} P_{Y|S, X_1, X_2}.$$

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$$P_{X_1|U, U_1, S} P_{X_2|U, U_2, S} P_{Y|S, X_1, X_2} \cdot$$

$$U_1 - U - U_2$$

$$V - S - Y$$

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$$P_{U, U_1, U_2, V, X_1, X_2, S, Y} = P_U P_{U_1|U} P_{U_2|U} P_{V|S} P_S \cdot \\ P_{X_1|U, U_1, S} P_{X_2|U, U_2, S} P_{Y|S, X_1, X_2}.$$

$$U_1 - U - U_2$$

$$V - S - Y$$

$$(U_1, U, U_2) \perp (V, S)$$

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# Main result

$\mathcal{R}_{\text{cau}}$  - the CH of all  $(R_1, R_2, \Gamma_1, \Gamma_2)$  satisfying

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**Theorem 2** (Causal SI)  $\mathcal{R}_{\text{cau}} \subseteq \mathcal{C}_{\text{cau}}$

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# *The naïve approach*

The naïve approach – using Shannon strategies, without block Markov coding of the state.

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# The naïve approach

The naïve approach – using Shannon strategies, without block Markov coding of the state. It leads to the region of all  $(R_1, R_2)$  satisfying

$$R_1 \leq I(T_1; Y|T_2, Q)$$

$$R_2 \leq I(T_2; Y|T_1, Q)$$

$$R_1 + R_2 \leq I(T_1, T_2; Y|Q)$$

for some joint distribution  $P_Q P_{T_1|Q} P_{T_2|Q} P_{Y|T_1, T_2}$ .

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$$R_1 + R_2 \leq I(T_1, T_2; Y | Q)$$

for some joint distribution  $P_Q P_{T_1|Q} P_{T_2|Q} P_{Y|T_1, T_2}$ . Here

$T_k, k = 1, 2$  are random Shannon strategies:

$$T_k \in \mathcal{T}_k, \quad \text{the set of mappings } t_k : \mathcal{S} \rightarrow \mathcal{X}_k$$

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# The naïve approach

The naïve approach – using Shannon strategies, without block Markov coding of the state. It leads to the region of all  $(R_1, R_2)$  satisfying

$$R_1 \leq I(T_1; Y | T_2, Q)$$

$$R_2 \leq I(T_2; Y | T_1, Q)$$

$$R_1 + R_2 \leq I(T_1, T_2; Y | Q)$$

for some joint distribution  $P_Q P_{T_1|Q} P_{T_2|Q} P_{Y|T_1, T_2}$ . Here

$T_k, k = 1, 2$  are random Shannon strategies:

$$T_k \in \mathcal{T}_k, \quad \text{the set of mappings } t_k : \mathcal{S} \rightarrow \mathcal{X}_k$$

$Q$  is a time sharing random variable,

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$Q$  is a time sharing random variable, and

$$P_{Y|T_1, T_2}(y|t_1, t_2) = \sum_{s \in \mathcal{S}} P_S(s) P_{Y|S, X_1, X_2}(y|s, t_1(s), t_2(s)).$$

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We denote this region as  $\mathcal{R}^{\text{naïve}}$ .

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# *The naïve approach*

- $\mathcal{R}_{\text{cau}}$  contains the region of the naïve approach, since we can always choose degenerate  $V$ .

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- In some cases, the inclusion is strict.

# Example

The noiseless binary MAC with input selector:

$$\mathcal{X}_1 = \mathcal{X}_2 = \mathcal{Y} = \{0, 1\}, \quad \mathcal{S} = \{1, 2\}, \quad P_S(S = 2) = p > 0.5$$

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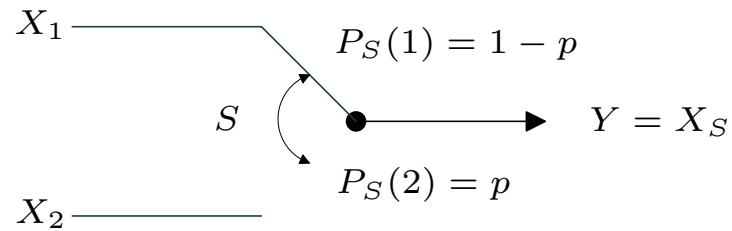
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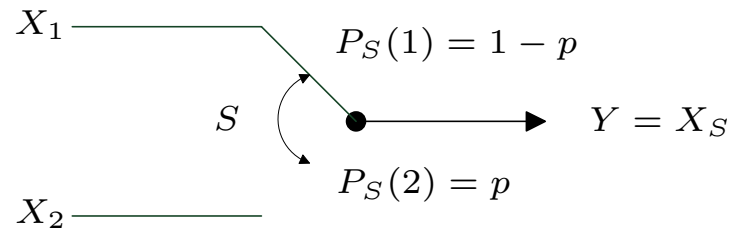
END

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- If the decoder knows  $S$ , user 1 can transmit at rate  $1 - p$ .
- Hence,  $1 - p$  is an upper bound on the transmission rate of user 1 in our model.

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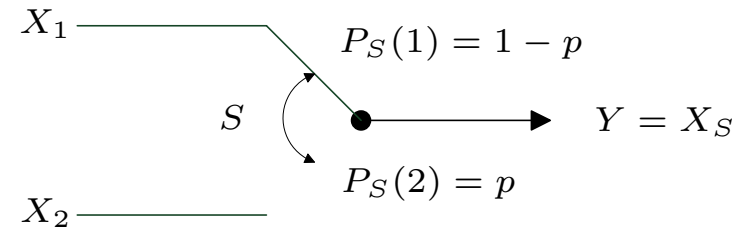
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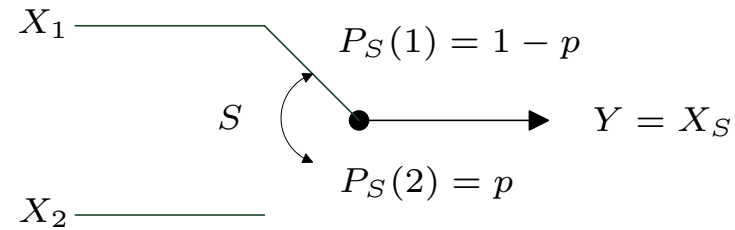
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With a proper choice of random variables in  $\mathcal{R}_{\text{cau}}$

$$(R_1, R_2) = \left( \min\{1 - p, 1 - H_b(p)\}, 0 \right) \in \mathcal{R}_{\text{cau}}$$

(Observe – achieves the maximal rate of user 1 for  $p \geq H_b(p)$ .)

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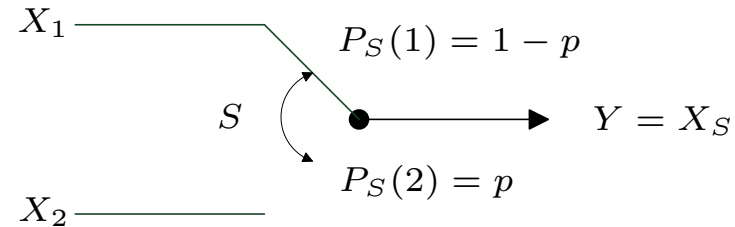
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The maximal rate of user 1 in the naïve approach is

$$R_{2,\max}^{\text{naïve}} = \log_2 \left( 1 + (1 - p)p^{\frac{p}{1-p}} \right) \text{ bits}$$

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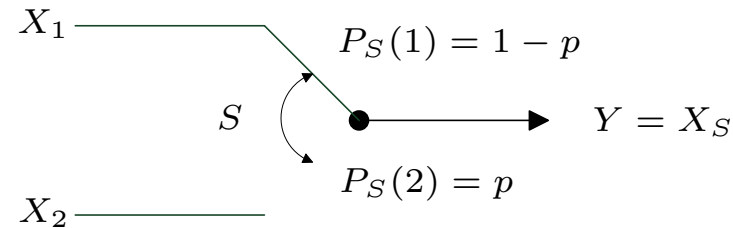
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The maximal rate of user 1 in the naïve approach is

$$R_{2,\max}^{\text{naïve}} = \log_2 \left( 1 + (1 - p)p^{\frac{p}{1-p}} \right) \text{ bits}$$

For sufficiently large value of  $p$ ,

$$R_{2,\max}^{\text{naïve}} < \min\{1 - p, 1 - H_b(p)\}$$

# MAC with independent SI streams

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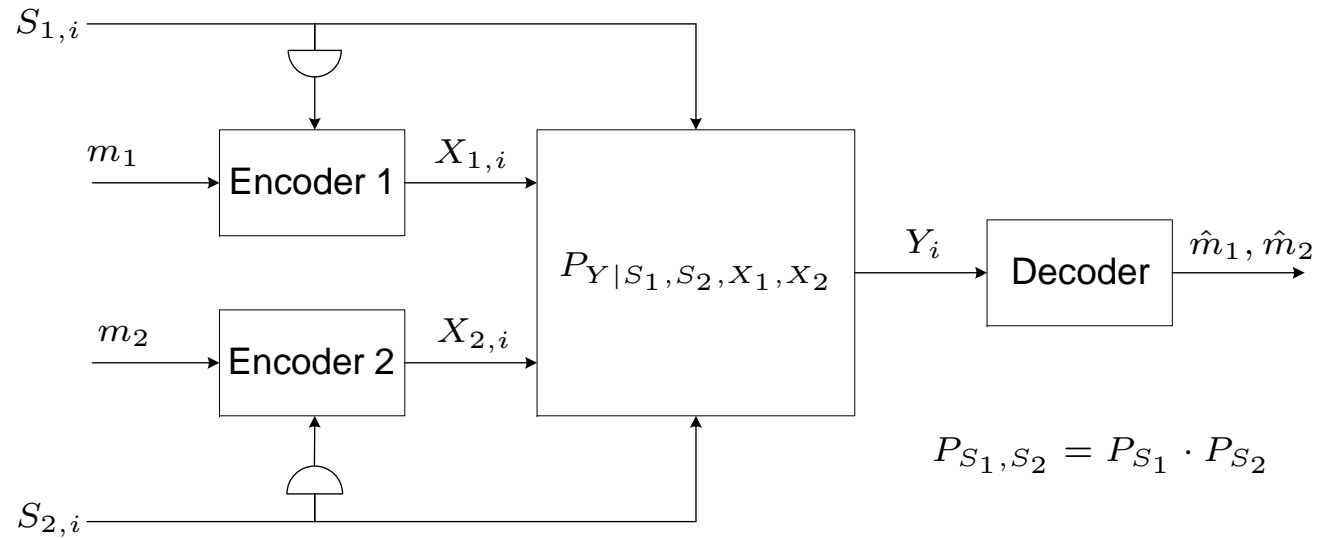
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$$P_{S_1,S_2} = P_{S_1} \cdot P_{S_2}$$



# MAC with independent SI streams

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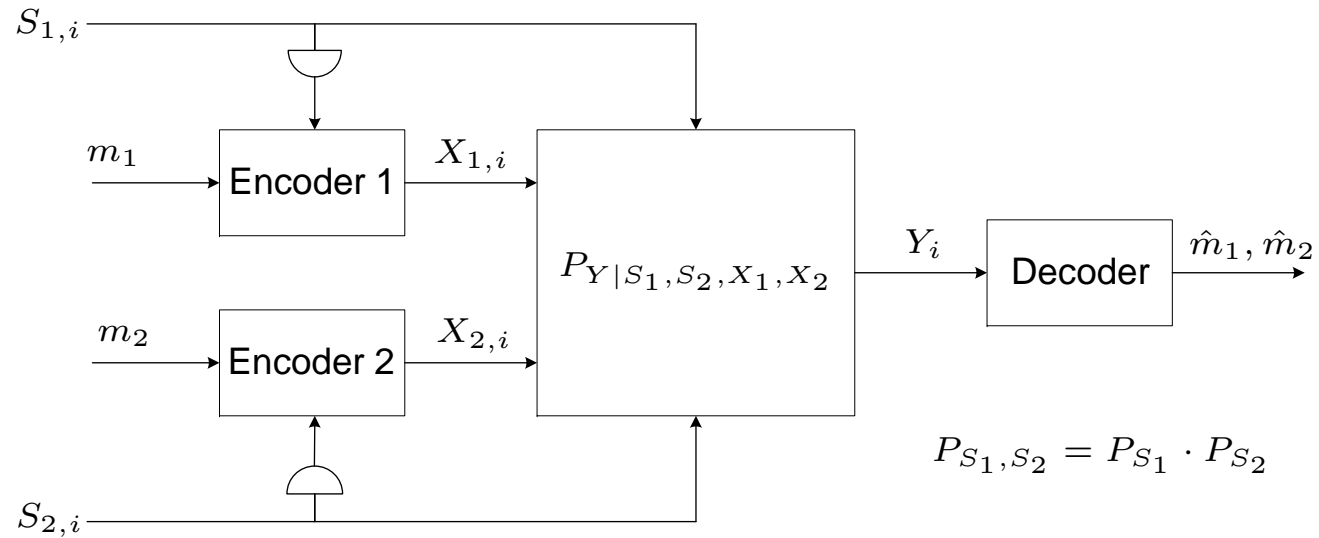
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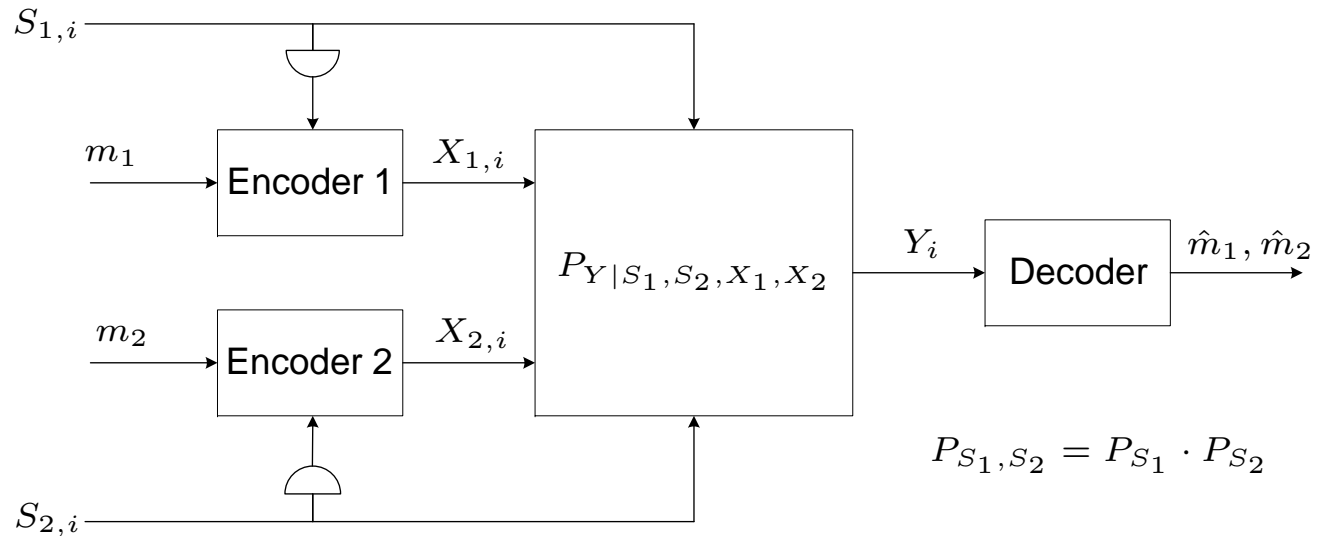
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- *Cooperation* in the compression and transmission of the state is not possible.

# MAC with independent SI streams



- *Cooperation* in the compression and transmission of the state is not possible.
- Yet, compression and transmission of the states to the decoder is beneficial, and enlarges the capacity region of the MAC.

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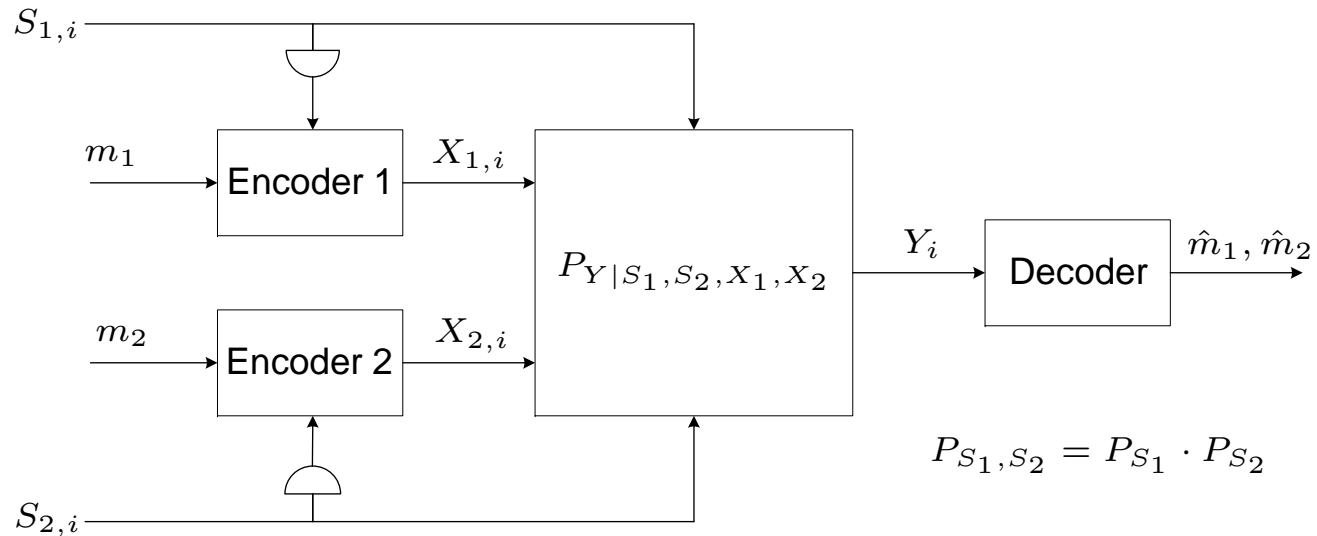
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► MAC with independent SI streams

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# MAC with independent SI streams



- *Cooperation* in the compression and transmission of the state is not possible.
- Yet, compression and transmission of the states to the decoder is beneficial, and enlarges the capacity region of the MAC.
- Utilize distributed Wyner-Ziv compression and block Markov coding (ISIT 2010).

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# Summary

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- ▶ Derived achievable region for the MAC with common strictly causal SI, based on block Markov encoding of the state.
- ▶ Strictly causal SI enlarges the capacity region of the MAC.
- ▶ Extended the results to causal SI
- ▶ The new region for causal SI is strictly better than the region obtained by the naïve approach.
- ▶ Strictly causal SI is beneficial even when the states available at the encoders are independent (ISIT 2010).

*Thank You!*